

# Partnership Agreement Grants: Regional Arts Organizations

APPLICATION INSTRUCTIONS

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#### Access for individuals with disabilities:

Contact the Office of Accessibility at 202-682-5532 / <u>accessibility@arts.gov</u> or the Office of Civil Rights at <u>civilrights@arts.gov</u> to request an accommodation or an alternate format of the guidelines at least 2 weeks prior to the application deadline.

## Partnership Agreement Grants: Regional Arts Organizations

## Introduction

NEA Partnership Agreement grants are awarded to the nation's 6 Regional Arts Organizations (RAOs) each year. RAOs apply through an annual application process that represents a mutually beneficial relationship between federal government and the six established RAOs in support of the nation's cultural infrastructure. Application components will:

- demonstrate eligibility for federal funds as dictated by NEA legislation and agency priorities, and
- provide an annual "field report" to the NEA on regional priorities; constituent needs and recommendations; and programming and activities, including those with shared regional and federal goals and objectives.

IMPORTANT NOTE: RAO Application requirements for FY25 have been updated significantly. Please review the application instructions carefully. RAO Applicants will no longer be designated as on-year or off-year, instead *ALL RAO applicants will submit a full application* each year. The requirements for a full application have been reduced to accommodate this change.

#### A complete application submitted through Grants.gov will include:

- 1. Application for Federal Domestic Assistance form ("SF-424 Mandatory")
- 2. Disclosure of Lobbying Activities form (SF-LLL)
- 3. Attachments Form, to which you will attach:
  - a. Application Narrative \*
  - b. Regional Arts Plan \*
  - c. Changes in Conflict of Interest/Appeals
  - d. Revenue Sources Form

Items with an asterisk (\*) will be reviewed by Partnership Agreement grant reviewers. Other submitted items are for administrative use only. Application narratives should not refer reviewers to application materials that are not marked with an asterisk.

#### **Application Calendar**

Step	Date	
Submit Application to Grants.gov October 10, 2024 11:59 pm ET		
Notification	April 2025	
Submit Partnership Agreements Budget Form	Date Announced in Notification of Funding	
Earliest Start Date for Proposed Project	July 1, 2025	

#### Completing Grants.gov forms:

All asterisked (\*) items and yellow fields on the Grants.gov forms are required and must be completed before you will be able to submit. Do not type in all capital letters when completing the forms. Enter information directly into the forms. Do not copy from an old application package or another document and paste into the forms.

## Step 1: Fill out the Application for Federal Domestic Assistance ("SF-424 Mandatory")

**1.** Items 1a-d default to certain selections; do not change them.

**2. Date Received**: This will be filled automatically with the date that you submit your application; leave blank.

**3.** Applicant Identifier: This is for your own use or you can leave blank.

4., 5., and 6.: Leave blank.

#### 7. Applicant Information:

**a. Legal Name:** The name provided here must be the applicant's legal name as it appears in the current IRS 501(c)(3) status letter or in the official document that identifies the organization as a unit of state or local government. (Do not use your organization's popular name, if different.)

**b. Employer/Taxpayer Identification Number (EIN/TIN):** Enter the 9-digit number that was assigned by the Internal Revenue Service; do not use a Social Security Number.

c. Organizational Unique Entity Identifier (UEI): All organizational applicants for federal funds must have a UEI. Enter your organization's UEI here. You can find your UEI in your System for Award Management (SAM) record. If you cannot locate your UEI, <u>contact SAM</u> for assistance. The UEI you enter here must match the UEI associated with your organization's SAM (System for Award Management) record that was used as part of your Grants.gov registration. Otherwise, your application will not be validated by Grants.gov and will be rejected.

**d. Address:** Use *Street 1* for your organization's physical street address. This address must be identical to the physical address that you used with SAM. Only use *Street 2* for your organization's mailing address if it differs from the SAM physical street address.

In the *Zip/Postal Code* box, organizations in the United States should enter the **full 9-digit zip code** that was assigned by the U.S. Postal Service. If you do not know your full zip code, you may look it up at <u>https://tools.usps.com/zip-code-lookup.htm</u>.

e. Organizational Unit: Leave blank.

f. Name and contact information of person to be contacted on matters involving this application: Provide the requested information for the individual who should be contacted on all matters involving this application and the administration of any grant that may be awarded. If this person is already associated with prior awards from your organization, you must use the same exact name and primary email address associated with that person's existing REACH account. Due to restrictions from the Department of Homeland Security we are not able to send emails to alias addresses that forward to another email account. Do not enter this type of email address.

**8a. Type of Applicant**: Select the item that best characterizes your organization from the menu in the first drop-down box. An additional description is optional.

9. Name of Federal Agency: This has been pre-populated.

10. Catalog of Federal Domestic Assistance Number: This has been pre-populated.

11. Descriptive Title of Applicant's Project: Enter "Partnership Agreement".

12. Areas Affected by Funding: Leave blank.

#### 13. Congressional District Information:

**a. Applicant**: Enter the number of the Congressional District where the applicant organization is physically located. Use the following format: 2-character State Abbreviation-3-character District Number. For example, if your organization is located in the 5th Congressional District of California, enter "CA-005." If your state has a single At-Large Representative or your territory has a single Delegate, enter your 2-character state/jurisdiction abbreviation and "-000." If you need help determining your district, visit the House of Representatives website at <u>www.house.gov</u> and use the "Find Your Representative" tool.

#### b. Project: Leave blank.

14. Funding Period: Enter the beginning and ending dates for your requested period of performance, i.e., the span of time necessary to plan, execute, and close out your Partnership Agreement grant. This should include adequate time to administer and close out all subawards made with NEA and/or cost share/matching funds. The start date should be the first day of the month, and the end date should be the last day of the month. The period of performance may start on or after July 1, 2025. Generally, a period of performance of up to two years is allowed.

#### **15. Estimated Funding**:

a. Federal: Enter "0."

**b. Match**: Leave blank.

**16. State Executive Order 12372 Process**: Applicants should contact the State Single Point of Contact for Executive Order 12372 to determine whether this application is subject to their state's intergovernmental review process. The U.S. Office of Management and Budget (OMB) maintains a list of official State Single Points of Contact designated by the States to review and coordinate proposed federal financial assistance and direct federal development. See <a href="https://www.archives.gov/federal-register/codification/executive-order/12372.html">https://www.archives.gov/federal-register/codification/executive-order/12372.html</a>

**17.** Is the Applicant Delinquent on Any Federal Debt?: Examples of relevant debt include delinquent payroll or other taxes, audit disallowances, and benefit overpayments. If your response is "yes," click on the "Yes" box and include an explanation with your application.

**18.** Authorized Representative: Enter the requested information for the AOR (Authorized Organization Representative) who will be submitting this application to Grants.gov. The AOR must have the legal authority to obligate your organization. If this person is already associated with prior awards from your organization, you must use the same exact name and primary email address associated with that person's existing REACH account. Due to restrictions from the Department of Homeland Security we are not able to send emails to alias addresses that forward to another email account. Do not enter this type of email address.

By clicking the "I Agree" box in item 18, this individual will be certifying compliance with relevant federal requirements on your organization's behalf. (These requirements can be found in the Assurance of Compliance section of these guidelines.) The "Signature of Authorized Representative" and "Date Signed" boxes will be populated by Grants.gov upon submission of the application.

Stop after item 18. Do not add attachments to this form.

## Step 2: Fill out the Disclosure of Lobbying Activities (SF-LLL)

Items 1-3 default to certain selections; do not change them.

**4. Name and Address of Reporting Entity**: Provide the requested information for the reporting entity. Include Congressional District, if known.

- 5. If Reporting Entity in No. 4 is Subawardee: Leave blank.
- 6. Federal Department/Agency: Enter "National Endowment for the Arts."
- 7. Federal Program: This has been pre-populated.
- 8. Federal Action Number, if known: Leave blank.
- 9. Award Amount, if known: Leave blank.
- 10. a. Name and Address of Lobbying Registrant: Provide the requested information for the registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered federal action. If not applicable, enter "N/A" in the fields for First Name, Last Name, Address 1, and City.

**b.** Individual Performing Services: Enter the name of the individual performing services, and include an address if different from 10 (a). If not applicable, enter "N/A" in the fields for First Name, Last Name, Address 1, and City.

**11**. **Authorized Representative**: Provide the requested information for the AOR (Authorized Organization Representative) who will be submitting the Partnership Agreements application to Grants.gov. This should be the same person listed as the AOR on the Application for Federal Domestic Assistance (SF-424 Mandatory). The "Signature" and "Date" boxes will be populated by Grants.gov upon submission of the application.

## Step 3: Complete and Attach Required Items to the Attachments Form

The *Attachments Form* is where you will attach documents that you have completed and saved elsewhere on your computer. The form has 15 attachment buttons. By clicking on a button, you will be able to choose the PDF file from your computer that you wish to attach.

#### All attachments must be submitted as PDF files.

- PDF documents can be created using any word processing software. When you have completed the document, save it to your computer and convert it to PDF before attaching. **Do not create PDFs of your electronic documents by scanning.**
- Do not embed non-printable media files (video and/or sound) in your PDF documents.
- No attachment should be more than 2 MB.

#### **Document Formatting:**

- Label pages clearly with the name of the item (e.g., Application Narrative) and your organization's legal name.
- Leave a margin of at least one inch at the top, bottom, and sides of all pages.
- Pages should be single-spaced, using a 12-point font size.
- Do not type in all capital letters.
- Place numbers on the bottom righthand corner of each page.
- Do not enable any document security settings or password-protect any PDF file you submit to us.

#### File Naming:

- Name your files as indicated in the instructions and attach them in the proper order.
- Limit file names to 50 characters and use only the following characters when naming your attachments: A-Z, a-z, 0-9, underscore (\_), hyphen (-), space, and period.
- You cannot change the name of a file once it has been uploaded to the Attachments Form. Therefore, make certain that each file is named correctly **before** you attach it.
- Your agency name (or acronym) must be included in each file name (e.g. "ABCArtsCouncilStaff").

## The Attachments

#### **Attachment Button 1: Application Narrative**

#### REQUIRED

Recommended length is three pages.

To this button, attach an **Application Narrative**. The file name should include the **name of your organization** or a recognizable acronym followed by "Narrative." Label each page clearly with your organization's legal name and "Application Narrative."

Recommended length for the application narrative is **three** pages. If more space is needed to address significant items relevant to the required prompts below, additional pages may be used. The application narrative **should not exceed five pages**. Application reviewers will be directed to not review more than five pages of application narrative.

You may reference details provided in the regional arts plan (Attachment Two) as needed, so as not to duplicate information. Please include specific page numbers and/or sections in your references to the plan. Applicants may also provide URL links to support/supplementary material that is referenced in your narrative, including links to organization work plans, program implementation plans, or previous regional arts plans, if relevant. Use links in moderation, and do not direct reviewers to additional narrative content.

Partnership Agreement grants eligibility requires that RAOs must have developed a **regional arts plan** that:

- Results from an inclusive, **public process** that solicited and represented the perspectives of arts constituencies throughout the regional's service area, and
- Reflects **recommendations** from the public process, as well as programmatic and/or policy **responses** to the recommendations.

## To meet the needs and eligibility requirements noted above, please address, in order, items A-D below.

Note: Any discussion of reaching and serving **underserved groups/communities** should identify those constituencies your organization considers underserved and describe your organization's efforts to engage members of those underserved groups/communities. If your organization has determined that there is an underserved group or community, please indicate whether there is any empirical research, studies, or data supporting that determination.

#### A. OVERVIEW

- 1. Describe **major developments or conditions** in your region e.g., economic, cultural, demographic, educational, geographical, or other that have an impact on your organization's planning and operations.
- 2. Provide a link to your current staff and board lists.

#### **B. PLANNING PROCESS**

**IMPORTANT:** If planning process information can be found in your Regional Arts Plan, you may identify the related pages or sections of the plan in lieu of reiterating that information in the Application Narrative attachment. Please be certain that *all* required details are referenced clearly, either directly in the plan or in this narrative.

- 1. Describe your organization's most recent planning process, including:
  - The design and structure of the process;
  - Efforts undertaken to engage member state arts agencies;
  - Efforts undertaken to include a **wide range of constituents** in the planning process, including but not limited to those in underserved communities, artists, arts organizations, educators, state agencies, community groups, disability groups, artists and cultural workers with disabilities, businesses, municipal and tribal governments;
  - How members/representatives of **underserved communities** were invited into and involved in the planning process;
  - The efforts your organization made to engage constituents through a **variety of means** such as public meetings, surveys, interviews, virtual engagement, and digital communications as relevant to various constituent groups. *Be sure to include specific, explicitly stated facts and figures about the methods employed to reach and involve participants. Include the number, dates, times, and locations of constituent meetings, numbers of participants, and which sectors they represent.*
  - Efforts to ensure the physical and programmatic **accessibility** of your in-person and virtual events, publications, website, and offices.
- 2. Discuss the **recommendations and priorities** for your regional arts plan that resulted **from the public meetings** and other forums.
- 3. Describe your **organization's response to these recommendations**, and the ways in which the response was communicated to the public including but not limited to references in the regional arts plan.
- 4. Describe the **processes used to measure and monitor** your organization's progress in relation to the regional arts plan. Consider if relevant, any metrics or other information (e.g., program and/or organizational evaluations) used.

Also, describe how those served, including underserved groups, are included in the evaluation process, how outside experts are involved in your evaluation activities, and any changes that your organization plans to make to its programs and services based on this information.

#### C. PRIORITIES AND PROGRAMMING

- 1. Without reiterating your regional arts plan, which reviewers will see in full, briefly describe your organization's **priorities** for the upcoming year and the leadership your organization is undertaking to achieve those priorities.
- 2. Describe your organization's **most significant programs, activities, and accomplishments** in relation to your region's current or most recent regional arts plan.
  - In addition, as relevant, consider addressing programming if/as it intersects with the Goals and Objectives outlined in the Partnership Agreement grants program description and further addressed in the <u>NEA strategic plan</u>.
  - Describe how each program, activity, and special initiative responds to constituent priorities and/or a changing environment in your region.
  - Describe those programs and special initiatives in which your RAO has exercised exemplary leadership.
  - Describe any public and private partnerships that enable you to deliver these programs and initiatives and the role played by your organization.
  - You may also discuss internal organizational work, such as introduction of new funding categories or completion of long-term programming, which may also demonstrate the most significant accomplishments of your organization.
  - If relevant, describe and explain any challenges that resulted in the objectives or goals either not being met or only partially met since your organization's last application.
- 3. Include details relevant to regional/federal cooperative program areas as detailed below:
  - a) **REGIONAL ENGAGEMENT PROGRAM:** Describe programs, activities, and accomplishments associated with the NEA Regional Engagement Program, including artist-centered engagement with an emphasis on programs that reach underserved communities and enhance public engagement with the arts.
    - Describe the process by which funds are awarded, including the criteria used and the expertise involved in adjudicating grants, and the provisions for ensuring that an appropriate proportion of activity takes place in underserved communities.
  - b) FOLK & TRADITIONAL ARTS: Describe briefly your organization's support of stable, outreach-driven programs that are responsive to your region's diverse folk & traditional arts heritage. In your description, include:
    - How you will support folk & traditional arts in your region, including any grant programs (e.g., apprenticeships, etc.), fieldwork/research, technical assistance, and cultural sustainability programs for traditional artists.
    - Your outreach to folk & traditional arts stakeholders (e.g., artists, community leaders, folklorists, other organizations, etc.) to facilitate field-building and to inform your organization's programming or activities.

- List the name and position/title of the lead staff for your folk and traditional arts programming. If programming is managed through a partnership or contract, such as agreements with a nonprofit organization or a university, list the partner/ contractor's information, including details on any agreements that are in place.
- c) ACCESSIBILITY: If you would like to request accessibility funding, please describe briefly your organization's plans related to accessibility professional development and/or accessibility subaward programs. Your description should include a requested budget figure for Office of Accessibility funds, up to \$12,000 for FY25. Accessibility-related activities can include, but are not limited to:
  - Support for travel and registration expenses for SAA and RAO Accessibility Coordinators and other staff to attend NEA-led peer sessions at the Leadership Exchange in Arts and Disability Conference, the National Assembly of State Arts Agencies (NASAA) Assembly, and/or other conferences, if applicable.
  - Delivery of other professional development activities including in-person training, webinars, and other virtual events on topics related to accessibility and engagement of the disability community. Activities can include constituents, but should prioritize training of SAA and RAO staff.
  - Funding for SAA and RAO staff to attend other accessibility training and educational activities, such as Regional or National ADA Center conferences and certification training.
  - Support for subawards to cover the cost of access accommodations for cultural
    organizations and/ or professional development for artists and other cultural
    workers with disabilities.

#### D. RESOURCE DEVELOPMENT AND MANAGEMENT

- 1. Discuss the health of your organization's development efforts (grants, contributions, earned income). What strategies are you employing to secure and enhance future revenues?
- 2. Describe the steps that your organization is taking to maximize the cost effectiveness of its operations.
- 3. Budget: Provide information about your organization's annual budget for the current fiscal year, and a projection for the next fiscal year. Use the following format:

	Current Fiscal Year	Projected Next Fiscal Year
Fiscal Year End Date	MM/DD/YYYY	MM/DD/YYYY
Total Operating Expenses	\$	\$

### Attachment Button 2: Regional Arts Plan

#### REQUIRED

To this button, attach your organization's current regional arts plan. The file name should indicate the **name of your organization** or a recognizable acronym followed by "Plan." Label each page clearly with your organization's legal name and "Regional Arts Plan."

The plan should convey your organization's mission, vision, goals, objectives, major strategies, and evaluation plans.

Attachment Two should be your *current* regional arts plan. If the accomplishments described in your application narrative (Attachment One) relate to an earlier plan, you may include a link in narrative to the former plan. If you have an organization work plan or program implementation plan developed to complement your strategic plan, you may also link to it within the narrative. It is the applicant's responsibility to maintain the availability of linked material.

#### Attachment Button 3: Changes in Conflict of Interest/Appeals

#### IF APPLICABLE

To this button attach your **Changes in Conflict of Interest/Appeals** document.

Submit this item only if there has been a change in your conflict of interest policies or appeals process since you last applied for a Partnership Agreement grant. The file name should include the **name of your organization** or a recognizable acronym followed by "Changes." Label each page clearly with your organization's legal name and "Changes in Conflict of Interest/Appeals."

#### Attachment Button 4: Revenue Sources Information Form

#### ALL APPLICANTS: Required

#### DOWNLOAD FORM

To this button, attach the Revenue Sources Information form. The file should include the **name of your organization** or a recognizable acronym followed by "Revenue." When completing this form, include entire organizational budget figures, not just NEA dollars.

#### LEAVE ALL ADDITIONAL ATTACHMENT BUTTONS BLANK.

## Step 4: Submit items electronically through Grants.gov

Be certain that you are satisfied with your application before you click submit. No revisions are possible through Grants.gov once submitted. After submission, the only way to update items in your application is to create a new application. The NEA will only review your most recently submitted application.

We strongly suggest that you submit your application *well before the deadline* to provide ample time to resolve any problems you might encounter.

- 1. Check the size of your electronic application. The total size should not exceed 10 MB.
- 2. Navigate to the Forms tab on the Manage Workspace page:
  - Once the forms are filled out and the **Form Status** column says "Passed," they will be ready for submission.
    - Important note: The status "Forms Passed" does NOT indicate that your application has been submitted, only that your forms have been filled out. You still need to click the Sign and Submit button after receiving the "Forms Passed" status. For more information, review the Forms Tab help article.
  - Click **Complete and Notify AOR**, which will notify the user(s) with the AOR role that the workspace is ready to submit.
  - The AOR must click <u>Sign and Submit</u> to submit the application.
  - After the AOR submits the application, they will see a confirmation screen explaining that the submission is being processed. Take a screenshot and retain the Grants.gov Tracking Number that you receive in the application submission confirmation screen.
- 3. Verify that the application was *validated* by the Grants.gov system. Take a screenshot of the validation confirmation for your records.

You can <u>track the progress of your application</u> submission through Grants.gov in one of three ways:

- Check the <u>progress bar</u> in Workspace. When your application has been successfully received, the bar will be green, and a check mark will appear in each bubble.
- When logged in to Grants.gov, click the Check Application Status link under the Applicants drop-down menu and search for the submitted application.
- When not logged in to Grants.gov, go to <u>Track My Application</u> and enter your Grants.gov Tracking Number. Then click the Track button to see the status listings of the valid tracking number entered. This function will only work if you have a tracking number.

## Updates

If any significant developments affecting the RAO occur subsequent to submitting the application, please contact NEA staff immediately. Significant developments might include changes to the organization operating environment, budget, key leadership/staff, strategic planning, and notable accomplishments. Email updates to: <u>NEAStateRegional@arts.gov</u>.

#### **REMINDER:**

Following the notification of funding offers (anticipated to be April 2025), all applicants must complete and submit a Partnership Agreement grant budget for NEA review. Instructions and deadlines will be provided with the notification of funding.

#### Additional Help/Contacts

For additional help on how to use Grants.gov, see the Grants.gov website at <u>Support</u>. You also can send email to the Grants.gov Contact Center at <u>support@grants.gov</u> or call them at 1-800-518-4726, 24 hours a day, and 7 days a week.

For specific help on how to complete your application contact:

• Lara Holman Garritano at garritanol@arts.gov or 202-682-5586

For questions specific to Folk Arts Partnership, contact:

• <u>folkandtraditionalarts@arts.gov</u>

For questions specific to Arts Education, contact:

• Nancy Daugherty at <u>daughern@arts.gov</u>, or 202-682-5521

For questions specific to Accessibility, contact:

• Katharine Hayward at <u>haywardk@arts.gov</u>, or 202-682-5748